PAGE 1/22 * RCVD AT 5/21/2004 2:13:40 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:714 557 3800 * DURATION (mm-ss):05-08

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TELEPHONE: (714) 557-3800

1500

INTELLECTUAL PROPERTY LAW 12400 WILSHIRE BOULEVARD, 7TH FLOOR LOS ANGELES, CA 90025

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| Deliver to: Unassigned, USPTO | Art Group:2874 |
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| Facsimile No.: 703-872-9306 | |
| • | Date: <u>May 21, 2004</u> |
| From: William E. Alford, Reg. No. | _37,764 |
| Our Docket No.: 3918P014D | Number of pages _21_including this sheet. |
| Application No.: 10/618,234 | Filing Date: 7/11/2003 |
| | Docket Due Date(s): |
| Enclosed are the following documents: | |
| Amendment; Supplemental (14 pgs) | ☐ Issue Fee Transmittal |
| ☐ Appeal Brief (in triplicate) (pgs) | ☐ Notice of Appeal |
| Application: | Petition for: |
| (pgs) w/cover & abstract) | ☐ Request for Continued Examination (RCE) |
| ☐ Assignment & Cover Sheet (pgs) | Reply Brief (pgs) |
| ☐ Certificate of | Request & Certification Under 35 USC 122(b)(2)(B)(i) |
| ☐ Continued Prosecution Application (CPA) | ☐ Request to Rescind Previous Nonpublication Request |
| ☐ Declaration & POA (pgs) | Response to Notice of Missing Parts & Formalities Letter |
| ☐ Drawings:sheets, figures | ☐ Response to Written Opinion (pgs) |
| Extension of Time: | ☐ Terminal Disclaimer |
| Fee Transmittal (in duplicate) | ☐ Transmittal of Publication Fee Due |
| ☐ IDS & PTO/SB/08 (pgs) | ▼ Transmittal Letter |
| □ Other | |
| | |
| CERTIFICATE OF MAIN hereby certify that this correspondence is being transcrated and Trademark Office. Susan McFarlane | LING/TRANSMISSION (37 CFR 1.8A) mitted by facsimile on the date shown below to the United States 05/21/2004 Date |

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|--|------------------------------|--|---|--|--|--|--|--|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application No. | 10/618,234 | | | | | | |
| | | Filing Date | July 11, 2003 | | | | | | |
| | | First Named Inventor | Edwin Dair | | | | | | |
| | | Art Unit | 2874 | | | | | | |
| | | Examiner Name | Unassigned | | | | | | |
| Total Number of Pages in This Submissi | on 21 | Attorney Docket Number | 3918P014D | | | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | | |
| Fee Transmittal Form | Drawing(s) | | After Allowance Communication to Group | | | | | | |
| Fee Attached | Licensing-r | elated Papers | Appeal Communication to Board of Appeals and Interferences | | | | | | |
| Amendment / Response | Petition | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | | |
| After Final Aftidavits/declaration(s) | Petition to C Provisional | Convert a Application | Proprietary Information | | | | | | |
| Extension of Time Request | Power of A | ttomey, Revocation Correspondence Address | Status Letter | | | | | | |
| Express Abandonment Request | Terminal Di | sclaimer | Other Enclosure(s) (please identify below): | | | | | | |
| Information Disclosure Statement | Request for | Refund | | | | | | | |
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| Certified Copy of Priority Document(s) | | | | | | | | | |
| Response to Missing Parts/ incomplete Application | Remarks | | | | | | | | |
| Basic Filing Fee | Remains | | | | | | | | |
| Declaration/POA | | | \$. | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | | |
| SIGNATURE | OF APPLICAN | T, ATTORNEY, OR AG | ENT | | | | | | |
| Firm William E. Alfor | rd, Reg. No. 3 | 7,764 | | | | | | | |
| Individual name BLAKELY, Se | HOE OFF, T | AYLOR & ZAFMA | AN LLP | | | | | | |
| Signature MATA Alland | | | | | | | | | |
| Date May 20, 2004 | | | | | | | | | |
| CERTIFICATE OF MAILING/TRANSMISSION | | | | | | | | | |
| I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office. | | | | | | | | | |
| Typed or printed name Susan McFar | lane | | | | | | | | |
| Signature | de D | at May 20, 2004 | | | | | | | |

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| EEE TRANSMITTAL | | | | | Complete | : £ 1/2 | | |
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| FEE TRANSMITTAL | A = 11 - 21 - 11 | | | | Complete | 618.234 | | |
| for FY 2004 | | | | | ly 11, 2003 | | | |
| Effective 10/01/2004. Patent fees are subject to annual revision. | | F | | | | win Dair | | |
| Applicant claims small entity status. See 37 CFR 1.27. | | | | | | | · · | |
| TOTAL AMOUNT OF PAYMENT | | | | | | assigned 74 | | · · · · · · · · · · · · · · · · · · · |
| TOTAL AMOUNT OF PAYMENT (\$) 16 | 0.00 | Altorney | Docke | et No. | | 8P014D | 1.0 | |
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| Check Credit card Money Order Name | 3. ADDITIONAL FEES | | | | | | | |
| Deposit Account | | Large Entity Small Entity | | | | | | |
| Deposit | Coc | | Fee Code | Fee (5) | | | | |
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| Daposit | 105 | | 2051 | 65 25 | | | | |
| ACCOUNT Blakely, Sokoloff, Taylor & Zafman LLP | | | | | cover sheet | And Selection of the Control of the | • | |
| The Commissioner is authorized to: (check all that apply) | 205 181 | | 2058 1812 | 130 | | | | |
| Chargo fee(s) indicated below Crodit any overpayments | 180 | | 1604 | | For filing a request f | | nination | |
| Charge any additional fee(s) or undergovernost of free as required under 37 | | | | | Examiner action | - V VIII privi (V | | * |
| CFR §§ 1.16, 1.17, 1.10 and 1.20. Charge (se(s) indicated below, except for the filling fee | 1605 | 5 1.840° | 1805 | 1.840 | * Requesting publicat Examiner action | ion of SIR after | | |
| to the above-identified deposit account | 1251 | 110 - | 2251 | 85 | _ | dibin flor mooth | | |
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| 1002 340 2002 170 Design (ting fee | 1402 | 330 | 2402 | 165 | Filing a brief in supp | on of an appeal | | <u> </u> |
| 1003 550 2003 286 Plant filing fee | 1403 | 290 | 2403 | 146 | Request for oral hea | dng | , | |
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| 1005 160 2005 60 Provisional filing (oe | 1452 | | 2452 | 55 | Polition to revive - ur | | | |
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| 2. EXTRA CLAIM FEES Extra American | 1502 | 480 | 2501 2502 | 240 | Utilky isaue fee (or ro Design issue fee | 15508) | | |
| Caime below Fee Point | 1503 | 640 | 2503 | 220 | Plant issue fee | • | • | |
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| 1202 18 2202 9 Claims in excess of 20 | 1809 | . 770 | 1809 | 385 | filing a submission aft (37 CFR § 1.129(a)) | ret (ina) rejection | | |
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| 1203 290 2203 145 Multiple Dependent claim, if not paid | 480. | | 0004 | | examined (37 CFR g | I.129(b)) | _ | <u> </u> |
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| SUBMITTED BY | | | | | | | | |
| Name (Frantype) William E, Alford | | gistration | | - | 7.754 | | ete (if applica | |
| The state of the s | | (714) Telephone | | | (714) 55 | /-3800 | | |
| Signature Date 05/20/04 | | | | | | 0/04 | | |
| ed on PTO/SB/17 (10-03) as modified by Blakely. Schokoff, Taylor & Zatrosh (utr.) 02/19/1. | 2004. | * | | _ | <u>-</u> | | | |

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| FEE TRANSMITTAL | | | Complete If Known | | | | | | |
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| for FY 2004 | | Applicat | | umber | | 0/618,234 | | | |
| Filection 10/01/2004 Section 4000 a November 10/01/2004 | | Filing Date | | | | uly 11, 200 | 3 | | |
| Effective 10/01/2004. Patent tees are subject to annuel revision. | | | | rvento | F E | dwin Dair | | | |
| Applicant claims small entity status. See 37 CFR 1.27. | | | r Nan | ne | U | nassigned | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 16 | 0.00 | Art Unit | | | 2 | 874 · | | | |
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| Deposit Account District Service Communication | 105 | | 2052 | 25 | Surcharge - late (| Movisional Ming (ee | or | | |
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| The Commissioner is authorized to: (check oil that apply) | 205 161 | | 2063 1812 | 130 2,520 | Non-English spec | | | | |
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| Charge any additional fee(s) or undergovment of less as required under \$7 | | | | | Examiner action' | our profit | • | 1 | |
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| to the above-dentified deposit account | 1251 | 110 | 2251 | 55 | Extension for reply | Million first | * | | |
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| 1. BASIC FILING FEE | 1253 | | 2253 | | Extension for reply | | A) I | | |
| Large Entity Smell Entity Fee | 1254 | 1,490 | 2254 | | Extension for rapty | | | | |
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| 1002 340 2002 170 Design filing for | 1402 | 330 | 2402 | 185 | Filing a brief in sup | heads as to noo | | <u> </u> | |
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| 1005 160 2005 60 Provisional liting foa | 1452 | 110 | 2452 | | Pathion to revive - | | _ | | |
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| | 1601 | 1,330 | 2501 | 665 | Utility issue foo (or | reissue) | | <u> </u> | |
| Port Feeture | 1502 | 490 | 2502 | 240 | Design lesue fee | | | - | |
| Total Claims 56 43" = 13 x 9.00 | 1503 | 840 | 2503 | | Plant Issue (ge | | | | |
| rednoendent 7 6 = 1 X 43.00 = \$43.00 | 1450 | 130 | 2460 | | Patitions to the Con | | | | |
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| 1202 19 2202 9 Claims in excess of 20 | | | | (| 37 CFR § 1.125(a)) | | | | |
| and and an experiment claims in excess or a | 1810 | 770 | 2610 | 385 F | or each additional is xemined (37 CFR § | nvention to be | | | |
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| or number previously paid, if greater, For Rolssups, see below | , entra | уваснедн | 96 1-90 | | | (C) JATOTSUS | (\$) | | |
| SUBMITTED BY | | | | | | Carr | Jolo /If P | | |
| Name (Print/Typo) William E. Alford | Re | gistration | No. | 27 | 764 | | lete (If applica | | |
| 11/11/10/11/10 | | omey/Agent) | | 3/ | ,764 | Telephone | (714) 55 | /-3800 | |
| Signature // Luga | 1/ | | | - | | Date | 05/20 |)/04 | |
| ed on PTO/SB/17 (10-03) as modified by Blakely, Soloxoff, Taylor & Zalman (vir.) 02/10/ | 2004 | - | | - | | 1-410 | | | |
| D TO: Commissioner for Patents, P.O. Box 1450, Alexandris, VA 22373-1450 | / | | | | | • | . * | | |

PACE 5/22 * RCVD AT 5/21/2004 2:13:40 PM [Eastern Daylight Time] * SVR: USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:714 557 3800 * DURATION (mm-ss):05-08

Appl. No. 09/949,461 Supplemental Amendment Dated 05/20/2004

RECEIVED CENTRAL FAX CENTER MAY 2 1 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/618,234

Confirmation No. 4400

Applicant

: Edwin Dair, et al.

Filed

: 07/11/2003

TC/A.U.

: 2874

Examiner

: Unassigned

Title

: METHODS AND APPARATUS FOR FIBER-OPTIC MODULES

WITH SHIELDED HOUSINGS/COVERS WITH FINGERS

(As Amended)

Docket No. : 3918P014D

Customer No. : 8791

Commissioner for Patents

PO Box 1450

Alexandria VA 22313-1450

SUPPLEMENTAL AMENDMENT

Dear Sir:

Please enter the following amendments and remarks in the above referenced patent application:

CLAIM listing begins on page 2.

REMARKS begin on page 17...

CONCLUSION with signature is on page 18.

3918P014D

WEA/sm